

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0630

First Named Inventor : Tohru SUETOMO et al.

Title: DISC DRIVE DEVICE

Express Mail Label No.:

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

3. ☒ Specification [Total Pages: 49]

- (preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 12]

5. ☒ Oath or Declaration [Total Pages: 3]

a.1. ☐ Newly executed (original or copy)

a.2. ☐ Unexecuted

b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)

☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 10/157,115

Prior Application Information: Examiner Mohammed N. Edun

Group Art Unit 2655

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

CUSTOMER NO.

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By: 

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April 22, 2004

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFERRED  
FEES FOR THIS PAPER  
ACCOUNT NO. 23-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Tohru SUETOMO et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed April 22, 2004 : Attorney Docket No. 2004-0630

DISC DRIVE DEVICE  
(Rule 1.53(b) Divisional  
of Serial No. 10/157,115,  
Filed May 30, 2002)

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,148.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$770.00  
Additional Claims Fee:  
Excess of Twenty ..... \$378.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Respectfully submitted,

Tohru SUETOMO et al.

By Charles R. Watts  
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April 22, 2004

[Check No. 61381]

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